JC10 Rec'd PCT/PTO 2 1 APR 2005

FORM PTO-1390 (Modified)
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE
(REV 12-2004)

		TDANICMITTAL LETTE	TO THE UNITED CTATES		ATTORNEY'S DOCKET NUMBER								
		TRANSMITTAL LETTER											
		DESIGNATED/ELECTI		034144-0104 U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)									
		CONCERNING A FILIN		Unaspines 30052									
INTERN	IATIONAL APPLI	CATION NO.	-	PRIORITY DATE CLAIMED 4 0 3 3									
-	T/FI2003/0007	76	10/17/2003		10/02/2002								
	OF INVENTION OLLIBLE RNA PO	LYMERASE PROTEIN AND METHO	INS FOR THE LISE THEREOF										
$\overline{}$	ANT(S) FOR DO		DOT ON THE OSE MEREO!										
Eu	gene MAKEYEV	& Dennis BAMFORD	tod/Elected Office /DO/EO/HEV the fello	wing items and other in	afamatica.								
1	oplicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.												
1. [⊠ This	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.											
2. [This	This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.											
3. [This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.											
4. [The	The US has been elected (Article 31).											
5. [⊠ A co	A copy of the International Application as filed (35 U.S.C. 371(c)(2))											
		is attached hereto (required											
	\boxtimes	has been communicated by	the International Bureau.										
		is not required, as the application was filed in the United States Receiving Office (RO/US)											
6. [An E	nglish language translation of the	e International Application as filed (35 t	J.S.C. 371(c)(2)).									
		is attached hereto.											
_	_	has been previously submitted under 35 U.S.C. 154(d)(4).											
7. [Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not transmitted by the International Bureau).											
		have been communicated by		tional Bureau).									
			ver, the time limit for making such amen	dments has NOT expir	red.								
		have not been made and wi	_										
8. [An E	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).											
9. [☐ An o	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).											
10. [An E	An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).											
Items 1	1 to 20 below o	oncern other document(s) or info	ormation included:										
11. [⊠ An I	nformation Disclosure Statement	under 37 CFR 1.97 and 1.98.		,								
12. [An a	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.											
13. [2	A pr	A preliminary amendment.											
14. [2	⊠ An A	An Application Data Sheet under 37 CFR 1.76.											
15. [A su	A substitute specification.											
16. [A po	wer of attorney and/or change o	f address letter.										
17. [2	⊠ A co	A computer-readable form of the sequence listing in accordance with PCT Rule 13 ter.2 and 37 CFR 1.821 — 1.825											
18. [A se	A second copy of the published international application under 35 U.S.C. 154(d)(4).											
19. [A se	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).											
	Othe	r items or information: Assertion	of Small Entity Status, Preliminary Exam	nination Report PCT/IP	EA/409								
	9.1 FORM Modified)												

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U.S. APPLICATION NO. (If known, see 3 Unassigned	3205		ys docket number 144-0104							
	ees have been si		Ļ							
21. Basic nation		300	\$	300.00	ļ					
22. Examination		t prepared b	by USPTO and all claims satisfy			١.				
Provisions of PCT Article		100	\$	200.00						
All other situations		200								
23. Search fee		1								
Search fee (37 CFR 1.445(
USPTO as an Internation	_									
International Search Report	prepared and pro	100								
All other situations				\$5	500	\$	500.00			
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		h or declara	tion later than 30 months from the	e earliest clain		\$	130.00			
(37 CFR 1.492(e))	irriistiirig 210 Gaa				р					
CLAIMS	NUMBER	NUMBER FILED NUMBER EXTRA			RATE	\$		1		
Total Claims	40	- 20 =	20	x\$	50.00	\$	1000.00			
Independent Claims	5	- 3 =	2	x\$	200.00	\$	400.00			
MULTIPLE DEPENDENT CLA	M(S) (if applicab	le)		+\$	360.00	\$				
			TOTAL	OF ABOVE C	ALCULATIONS =	\$	2530.00	.		
Applicant claims	mall entity status	s. See 37 Cl	FR 1.27. Fees above are reduced b	ov ½.	+	\$	1265.00			
	,			,,-	SUBTOTAL =	\$	1265.00			
Processing fee of 130.00 fo	r furnishing the F	nglish trans	slation later than 30 months			\$				
from the earliest claimed pri	•									
· · · · · · · · · · · · · · · · · · ·				TOTAL N	IATIONAL FEE =	\$	1265.00			
Fee for recording the enclose	ed assignment (37 CFR 1.21	(h)). The assignment must be			\$				
accompanied by an appropr	iate cover sheet	(37 CFR 3.2	28, 3.31). 40.00 per property							
			· · · · · · · · · · · · · · · · · · ·	TOTAL FE	es enclosed =	\$	1265.00			
						Amoun	t to be refunded:			
							charged:			
a. A check in t	ne amount of \$	1265.00 t	o cover the above fees is enclo	sed.						
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	ge my Deposit a opy of this she		o. <u>19-0741</u> in the amount of \$	1265.00 to	cover the above	e tees.				
A duplicate o	opy or this she	et is encio	seu.							
			ed to charge any additional fe eet is enclosed.	es which ma	y be required,	or credit	any overpayment to	o Deposit Account No.		
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NOTE: Where an approp	priate time limit	under 37	CFR 1.495 has not been met	, a petition	to revive (3,7 C	FR 1.137	(a) or (b)) must b	e filed and granted to		
restore the International	Application to p	pending sta	atus.			\ /	(
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JEND ALL CORRESPOND	ENCE IO.				SIGNATURE					
Foley & Lar	dner LI P									
· ·	lumber: 2242	8	Stephen A. Bent NAME							
							TION NUMBER			
						REGISTRATION NUMBER Date: April 21, 2005				

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Eugene MAKEYEV et al.

Title:

SOLUBLE RNA POLYMERASE PROTEIN AND METHODS FOR THE

USE THEREOF

Int'l. Appln. No.:

PCT/FI2003/000776

Int'l. Filing Date:

10/17/2003

Examiner:

Unassigned

Art Unit:

Unassigned

ASSERTION OF SMALL ENTITY STATUS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.27(c)(1), Applicants hereby assert entitlement to small entity status in the above-identified application.

Respectfully submitted,

FOLEY & LARDNER LLP

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Stephen A. Bent Attorney for Applicant

Registration No. 29,768